

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

1594-RUT.03-083US

Total Pages

53

First Named Inventor or Application Identifier

Pal Maliga, et al.

Title: Plastid rRNA Operon Promoter Elements for Construction of Chimeric Promoters for Transgene Expression

Express Mail Label No.

EV301975364US

Date Mailed: December 15, 2003

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

COMMISSIONER FOR PATENTS
ADDRESS TO: P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification (preferred arrangement set forth below) (Total Pages 45)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) (Total Sheets 8)
4. ☐ Oath or Declaration (Total Pages)
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 Below)
 - i. ☐ DELETION OF INVENTOR(S)
Signed Statement attached deleting inventor(s)
named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of
the oath or declaration is supplied under Box 4b, is considered as
being part of the disclosure of the accompanying application and is
hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of
Statement (IDS)/PTO-1449 IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☒ Small Entity Status
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other: _____

17. a. If a CONTINUING APPLICATION, check appropriate box and supply requisite information.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of the following application(s), each of which is hereby incorporated herein by reference:

b. Priority Applications

In addition to any applications listed in 17a, the present application also claims priority to the following application(s), each of which is hereby incorporated herein by reference.

U.S. Provisional Patent Application No. 60/433,302, filed December 13, 2002

18. CORRESPONDENCE ADDRESS

☒ Customer Number 000110 or
DANN, DORFMAN, HERRELL AND SKILLMAN, P.C.
1601 Market Street, Suite 2400
Philadelphia, PA 19103-2307
Phone (215) 563-4100 Facsimile (215) 563-4044
to the attention of the individual identified below.

Kathleen D. Rigaut, Ph.D., J.D.
Registration No. 43,047

The PTO did not receive the following
listed item(s) PRELIMINARY AMENDMENT

121503

6569 U.S. PTO

22386 U.S. PTO

10/737251

121503

FEE TRANSMITTAL

Complete if known

Application Number: Not Yet Assigned

Filing Date: December 15, 2003

First Named Inventor: Pal Maliga, et al.

Group Art Unit: Not Yet Assigned

Examiner Name: Not Yet Assigned

Total Amt. of Payment: (1)+(2)+(3)= \$ 385.00

Attorney Docket Number: 1594-RUT.03-083

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to:

☐ Charge indicated fees

☒ Charge additional fees

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to the account of DANN, DORFMAN, HERRELL & SKILLMAN

Deposit Account Number 04-1406

2. Payment enclosed:

Check in the amount of \$ 385.00

FEE CALCULATION

1. FILING FEE

Fee

Fee Description

Utility filing fee 385
Design filing fee _____
Plant filing fee _____
Reissue filing fee _____
Provisional filing fee _____

SUBTOTAL (1) \$385

FEE CALCULATION (continued)

ADDITIONAL FEES

Fee Description

Fee Paid

Surcharge-late filing fee or oath _____
Surcharge - late provisional filing fee or cover sheet _____
Extension for response within first month _____
Extension for response within second month _____
Extension for response within third month _____
Extension for response within fourth month _____
Notice of Appeal _____
Filing a brief in support of an appeal _____
Request for oral hearing _____
Petition to revive unavoidably abandoned application _____
Petition to revive unintentionally abandoned application _____
Issue fee _____
Petitions to the Commissioner _____
Petitions related to provisional applications _____
Submission of Information Disclosure Stmt. _____
Recording each patent assignment per property _____
Other fee (specify) Advance Order (10 copies) _____
Other fee (specify) _____

SUBTOTAL (3) _____

2. Claims

Paid Extr Fee

Total Claims - 20 = 0 x 9 = 0

Independent Claims - 3 = 0 x 43 = 0

Multiple Dependent
(First presentation)

SUBTOTAL (2) 0

Submitted By:

Typed or

Printed Name Kathleen D. Rigaut, Ph.D., J.D.

Reg. Number 43,047

Signature Kathleen D. Rigaut

Date December 15, 2003

Deposit Account User ID
04-1406